Table of cases Table of statutes	xix xxiii
table by statutes	XXIII
CHAPTER 1: THE DISPUTE BOARD CONCEPT	1
Mediation	1
Arbitration	1
Litigation	2
Dispute board	2
What is a dispute board?	3
What makes a dispute board unique? What can be achieved by using a	
dispute board?	5
Recommendations versus binding decisions	6
Non-binding recommendations	6
Interim-binding decisions	8
Differences between dispute boards and arbitration, mediation, adjudication	
and alternative dispute resolution (ADR)	9
Dispute board history	11
The World Bank	12
Harmonised contract conditions	12
Growth of dispute boards	13
An overview – composition of dispute boards and their operation	14
The chairperson's role	15
Appointment and membership	15
Board member qualities	16
The importance of early appointment and of regular site visits	17
Routine operations	17
Subcontractors	18
FIDIC Conditions of Subcontract for Construction	19
Subcontractor claims to the DAB	21
Formal versus informal operations	22
Hearings	23
Dispute board procedures	25
Dispute board costs	25
Why dispute boards work	26
Why dispute boards are claimed not to work	28
International aspects	31
The future	31

CHAPTER 2: TYPES OF DISPUTE BOARDS	33	
The dispute review board (DRB)		
The dispute adjudication board (DAB)		
The combined dispute board (CDB)	33 34	
Common aspects of all dispute boards	35	
Dispute board formation	36	
Other forms of boards dealing with disputes	39	
Dispute advisory board	39	
Dispute mediation board	39	
CHAPTER 3: LEGAL BASIS FOR DISPUTE BOARDS	41	
Legal systems – overview	41	
Common law	41	
Civil law	42	
Differences between common and civil law	43	
Civil law countries	43	
The historical development of dispute boards	44	
Contemporary legal development of dispute boards	47	
The engineer/arbitrator as judge	48	
The engineer as quasi-arbitrator	50	
The development of non-statutory adjudication	53	
The development of statutory adjudication in the UK	56	
Mandatory provisions	57	
The process under the Act	57	
The Scheme for construction contracts	58	
The Act – main areas – is there a dispute?	59	
Does the dispute arise under a construction contract?	62	
Is the contract in writing within the meaning of Section 107?	63	
The process	64	
Procedures under the Scheme	67	
Notice of adjudication	67	
Appointment of an adjudicator	68	
The referral notice	68	
Adjudicator's powers and duties	68	
Changes to the Housing Grants Act	69	
Adjudication and dispute boards in England	72	
Extension to international cases	73	
Specific regional non-common law country differences and methods	77	
Germany	77	
France	78	
Sharia – Islamic law	80	
Host country rules - dispute boards under common, civil or Sharia law	88	
CHAPTER 4: CASE HISTORIES	91	
Dispute boards in operation	91	
Historical cases	93	

China: Ertan Hydroelectric Project	93
Africa: Katse Dam Project	
Hong Kong: Hong Kong Airport	94 95
England: Docklands Railway	96
England: Saltend Private Power Plant	96
Canada: Niagara Tunnel Project	97
China: Yellow River Diversion Project	97
USA: I-15 Reconstruction – Salt Lake City, Utah	99
France-England: Eurotunnel	100
Currently	100
The Panama Canal Upgrade	100
Marmaray Metro System, Istanbul	101
Beijing's new international airport	101
Etihad Rail Network	101
Hyderabad Metro	101
The LAPSSET Project	101
London Crossrail	102
CHAPTER 5: APPOINTING AND ESTABLISHING A DISPUTE BOARD	103
Composition of dispute boards	103
Single-member dispute boards	103
Two-member dispute boards	103
Three-member dispute boards	104
Mega-member dispute boards	104
Micro dispute boards	105
Ad hoc dispute boards	105
Miscellaneous situations	106
Major international appointing bodies	106
The major forms of dispute board rules	106
The FIDIC Contracts generally	106
Dispute avoidance points to be considered with the FIDIC Contracts	109
The multilateral development banks	111
The Dispute Board Federation (DBF)	113
The International Chamber of Commerce	114
The American Arbitration Association rules	115
Board selection	116
Operating rules	117
Different meeting requirement	118
Custom format DB rules	127
Basic standards	139
Dispute board guidelines in practice	140
CHAPTER 6: SELECTION OF A DISPUTE BOARD	149
Qualifications	149
Good people skills	149
Independence	149

Disclosure of conflicts	161
The need for continuing disclosure	169
Additional qualifications	170
Where can dispute board members be found?	173
Dispute board member qualification, training and certification	173
The process of selection	174
Party approval	175
Parties' joint selection	175
Parties agree on two and those two nominate the third	175
Parties select from a range, and the selected two nominate the third	176
Parties select the chairperson who then chooses the other two members	176
Identifying the third person	176
Selecting the chairperson	177
Lawyers as board members	177
After selection – the Dispute Adjudication Agreement (DAA)	177
Form Dispute Adjudication Agreements	179
Unilateral dispute board agreements	197
Default appointments	204
Who can appoint if the parties are in default?	206
Payment of board members	206
FIDIC payment	211
Replacing dispute board members	212
r ··· O ··· r	
CHAPTER 7: REFERRAL TO A DISPUTE BOARD	215
Starting the process – informal versus formal	215
Is notification of a dispute necessary?	216
Informal	216
Formal	219
Dispute board dispute referral notice	222
Procedural requirements	223
Duties of the parties	227
Witnesses and witness statements	229
Witness statements – guideline	229
What witness statements should contain	229
The importance of witness statements	229
Statements by experts	230
Format of witness statements	230
Witness outlines	230
CHAPTER 8: ELEMENTS OF A REFERRAL	231
Referral by the contractor	231
Elements of the referral	233
Referral by the employer	240
Preliminary matters and setting out the dispute	241
Dispute adjudication board member form	243
Sample referrals	246
Issue list	251

CHAPTER 9: SITE VISITS	255
The concept of site visits	255
Contractual requirements	255
Preparation prior to site visit	257
Establishing an agenda	259
Sample general agendas	261
Practice and procedure at site visits	263
Criticism by dispute board members	264
Handling evidence at a site visit	264
Handling conflicts at site visits	265
Handling hostile parties at site visits	265
Issuing a site visit report	266
CHAPTER 10: THE HEARING PROCESS	293
Hearing preparation	293
Preliminary determinations	296
Preparation process steps – parties and dispute board members	297
Role of the chair	300
Role of other members	301
Guidelines for operation	303
The role of the engineer	304
The roles of the owner/employer and contractor	304
Handling and hearing evidence	305
Objections from the parties	306
Use of consultants/expert witnesses	306
Lawyers at hearings	307
Additional claims presented at hearings	307
Additional documentation requests after hearing	308
Deliberations after hearing	309
Reaching a decision	309
Proof	310
Writing the decision	310
The decision	312
Making and writing the decision and time requirements	312
Dispute adjudication board decision difference	345
Non-acceptance of dispute board decision	347
Notice of dissatisfaction	348
Amicable settlement	348
Arbitration	349
Arbitration after termination of the dispute board	350
CHAPTER 11: BOARD MEMBER CONFLICTS	351
Pre-existing conflicts of interest	352
Conflicts which can develop during the hearings	353
Evident partiality	353
Interpretation of the evident partiality standard	354
Ethical requirements of board members	355

CHAPTER 12: REMOVAL OF BOARD MEMBERS	
Contract provisions	<b>369</b> 369
Voluntary removal	
Non-voluntary removal	371
Consequences of dispute board member removal	372
Adding new board members during proceedings	372
Notice of termination of dispute board member by parties	373
CHAPTER 13: DISPUTE BOARDS – USE, TRAINING AND	
CURRENT PRACTICE	379
Maritime industry dispute boards	379
Maritime disputes	380
ICC Rules	381
The use of dispute boards in other settings	389
Dispute board member training programmes	389
FIDIC	389
The Dispute Board Federation (Geneva)	390
ICC, World Bank and ICE	390
Default appointments	391
Practice in the USA	391
Post-dispute board matters	392
CHAPTER 14: DEVELOPMENT BANKS	393
The World Bank rules	393
World Bank disputes review board's rules and procedures	393
Other multilateral development banks	404
Other dispute board uses	405
CHAPTER 15: ENFORCEMENT ISSUES	407
Is there a dispute to enforce?	407
When is the decision enforceable?	410
Arbitration	411
New developments	412
The award	415
Recent developments	417
The trend	422
Persero No 2	424
CHAPTER 16: TIME-BAR CLAUSES, SPECIAL SITUATIONS	
AND PITFALLS	431
FIDIC Sub-Clause 20	431
FIDIC Gold Book	434
But when does the delay impact the critical path?	441
When exactly does the 28-day notice period commence?	441
Who exactly at the contractor is supposed to acquire knowledge of the	
event or circumstance?	446

The appointment of the DAB – issues	451
Holding decisions for ransom	453
Late decisions	455
Failure to agree a DAB – forcing a DAB	455
FIDIC Sub-Clause 20.4 – the decision and pitfalls	457
When is the date of receipt of the referral?	458
The DAB as inquisitor	459
The Reasoned Decision	462
Binding decision	463
Dissatisfaction with the decision	464
Amicable settlement	465
Confusion as to arbitration	466
What evidence?	468
Decision enforcement	470
Counterclaims in arbitration enforcement proceedings	471
After the DAB ends	475
Special issues in arbitration	475
Jurisdiction challenges	493
Case study No 1	494
The DAA	497
The DAB decisions	498
The issues	499
What should be done?	499
Jurisdiction of the arbitral tribunal	500
The dispute adjudication board	503
Jurisdiction of the arbitral tribunal – Sub-Clause 2.5	506
Conflict between Sub-Clauses 2.5 and 15.4	509
The DAB's decisions	511
Enforce the DAB decision	516
Case study No 2	517
Decisions	517
The claims in dispute	517
History	518
The Disputes	518
Case study No 3	541
Informal opinion	541
CHAPTER 17: MISTAKE, MISREPRESENTATION, UNCERTAINTY	
AND OTHER ISSUES	547
Uncertainty	547
Conditions as terms of a contract	548
Terms which are implied	548
Misrepresentation	549
Distinctions	551
Express false representations	552
Implied misrepresentations	553

	misrepresentations	554 554
Innocent misrepresentations Misstatements of the law versus fact		
Mistake Mistake	ents of the law versus fact	554 555
Unilateral	mictake	555
Mutual m		558
Common		560
	ersus frustration versus impossibility	562
CHAPTER 18: N	NEW TRENDS	567
Partnering con	mmittees and dispute boards in emerging market	
infrastructu	re projects	567
PPPs (Pub	olic Private Partnerships)	567
CHADTED 10. A	ADDITIONAL FORMS	579
	te board member agreements	579
	tional procedure checklist	592
APPENDICES		633
Appendix 1: FID	IC (Red Book) Conditions of Contract for Construction	633
	IC (Yellow Book) Conditions of Contract for Plant and gn-Build	647
	IC (Silver Book) Conditions of Contract for EPC nkey Projects	659
	IC (Gold Book) Conditions of Contract for Design, Build and	
Opei	rate Projects	671
Appendix 5: Disp	ute Board Rules of the International Chamber of Commerce	685
Appendix 6: Sam	ple Oaths and Affirmations	707
	Dispute Board Federation Dispute Adjudication Board Ad Hoc s for Use in Independently Administered Dispute Board Matters	709
Resources Index		721 723